

# **(Co)compact objects and duality in triangulated categories**

with Steffen Oppermann and Chrysostomos Psaroudakis

## Outline

Compact objects

Cocompact and 0-cocompact objects

Duality and almost split triangles

## Setup

- $\mathcal{T}$  is a 'big' triangulated  $k$ -category for some commutative ring  $k$ .
- For  $\mathcal{S} \subset \mathcal{T}$ , write

$$\mathcal{S}^\perp = \{T \in \mathcal{T} \mid \mathcal{T}(\mathcal{S}[n], T) = 0 \text{ for each } n\}$$

$${}^\perp\mathcal{S} = \{T \in \mathcal{T} \mid \mathcal{T}(T, \mathcal{S}[n]) = 0 \text{ for each } n\}.$$

Compact objects

## Compactness

An object  $C \in \mathcal{T}$  is **compact** if we always have

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## Examples

Let  $R$  be a ring.

—  $R$  is compact in  $D(\mathrm{Mod} R)$ :

$\mathrm{Hom}_D(R, -) \cong H^0(-)$ , and this commutes with coproducts.

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- $R$  is compact in  $K(\mathrm{Mod} R)$ .

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 $\mathrm{Hom}_{\mathrm{D}}(R, -) \cong H^0(-)$ , and this commutes with coproducts.
- $R$  is compact in  $\mathrm{K}(\mathrm{Mod} R)$ .
- An injective resolution  $\lambda R$  of  $R$  is compact in  $\mathrm{K}(\mathrm{Inj} R)$ :  
A quasi-isomorphism  $R \rightarrow \lambda R$  induces an isomorphism

$$\mathrm{Hom}_{\mathrm{K}}(\lambda R, X) \xrightarrow{\cong} \mathrm{Hom}_{\mathrm{K}}(R, X)$$

for each complex  $X$  of injectives. So  $\lambda R$  is compact in  $\mathrm{K}(\mathrm{Inj} R)$  since  $R$  is compact in  $\mathrm{K}(\mathrm{Mod} R)$ .



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$\mathcal{T}$  is **compactly generated** if there is a set  $\mathcal{C} \subset \mathcal{T}$  of compact objects with  $\mathcal{C}^\perp = 0$ .

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### Examples

- $\mathrm{D}(\mathrm{Mod} R)$  is compactly generated for any ring  $R$ .
- $\mathrm{K}(\mathrm{Inj} R)$  is compactly generated if  $R$  is noetherian.
- $\mathrm{K}(\mathrm{Mod} R)$  is typically not generated by compact objects.

## Big guns

### Theorem (Beligiannis–Reiten)

Suppose  $\mathcal{T}$  has coproducts, and let  $\mathcal{S} \subset \mathcal{T}$  be a set of compact objects.

Then the inclusion functor  $\mathcal{S}^\perp \hookrightarrow \mathcal{T}$  admits a left adjoint.

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### Theorem (Neeman)

If  $\mathcal{T}$  is compactly generated, then  $\mathcal{T}$  satisfies Brown representability.

That is, if a cohomological functor  $F: \mathcal{T}^{\text{op}} \rightarrow \text{Ab}$  takes coproducts to products, then

$$F \cong \text{Hom}(-, F_R) \text{ for some } F_R \in \mathcal{T}.$$

## Cocompact and 0-cocompact objects

## Cocompactness

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### Non-examples

- If  $\mathcal{A}$  is Grothendieck abelian, then the only cocompact object in  $D(\mathcal{A})$  is 0.
- If  $k$  is a field, then the only cocompact object in  $K(\mathrm{Mod} k)$  is 0.

## 0-cocompactness

Recall: An object  $C \in \mathcal{T}$  is **cocompact** if we always have

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An object  $C \in \mathcal{T}$  is **0-cocompact** if  $\mathrm{Hom}(\mathrm{holim} T_i, C) = 0$  for each sequence

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### Example

Recall: If  $k$  is a field, then the only cocompact object in  $\mathrm{K}(\mathrm{Mod} k)$  is 0.

However, *each* object in  $\mathrm{K}(\mathrm{Mod} k)$  is 0-cocompact.

## Initial motivation

Recall Beligiannis–Reiten: A set of compacts  $\mathcal{S} \subset \mathcal{T} \rightsquigarrow$  a left adjoint of  $\mathcal{S}^\perp \hookrightarrow \mathcal{T}$ .

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### Theorem

Suppose  $\mathcal{T}$  has products, and let  $\mathcal{S}$  be a set of 0-cocompact objects in  $\mathcal{T}$ .

Then the inclusion functor  ${}^\perp\mathcal{S} \hookrightarrow \mathcal{T}$  admits a right adjoint.

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$\mathcal{T}$  is **0-cocompactly cogenerated** if there is a set  $\mathcal{C} \subset \mathcal{T}$  of 0-cocompact objects with  ${}^\perp \mathcal{C} = 0$ .



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### Theorem

Suppose  $\mathcal{T}$  is 0-cocompactly cogenerated and ‘enhanced’.

If a cohomological functor  $G: \mathcal{T} \rightarrow \text{Ab}$  takes products in  $\mathcal{T}$  to products in  $\text{Ab}$ , then

$$G \cong \text{Hom}(G_L, -) \text{ for some } G_L \in \mathcal{T}.$$

## Duality and almost split triangles

## Duality

Let  $I$  be an injective cogenerator of  $\text{Mod } k$ . Write  $(-)^* = \text{Hom}_k(-, I)$ .

### Typical examples

- $k$  is artinian and  $I$  is an injective envelope of the semisimple  $k/\text{rad } k$ .
- $k = \mathbb{Z}$  and  $I = \mathbb{Q}/\mathbb{Z}$ .

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A **partial Serre functor** for a subcategory  $\mathcal{X} \subset \mathcal{T}$  is a functor  $\mathbb{S}: \mathcal{X} \rightarrow \mathcal{T}$  such that

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### Theorem

Let  $\mathbb{S}: \mathcal{X} \rightarrow \mathcal{T}$  be a partial Serre functor. Then

- $\mathcal{X}$  consists of compact objects;
- $\mathbb{S}(\mathcal{X})$  consists of 0-cocompact objects.

## 0-cocompact objects in derived categories

### Corollary

If  $\mathcal{T}$  is compactly generated, then  $\mathcal{T}$  is also 0-cocompactly cogenerated.

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### Proof

Let  $\mathcal{C}$  be a compact generating set, and let  $C \in \mathcal{C}$ . By Brown representability,

$$\mathrm{Hom}(C, -)^* \cong \mathrm{Hom}(-, C_R)$$

for some object  $C_R \in \mathcal{T}$ .

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$\rightsquigarrow$  there is a partial Serre functor  $\mathbb{S}: \mathcal{C} \rightarrow \mathcal{T}$  given by  $C \mapsto C_R$

$\rightsquigarrow \mathbb{S}(\mathcal{C})$  is a set of 0-cocompact cogenerators for  $\mathcal{T}$ . □



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### Example

Let  $R$  be a ring. Recall that  $D(\mathrm{Mod} R)$  has no cocompact objects.

However, this category is cogenerated by 0-cocompact objects.

## 0-cocompact objects in homotopy categories

Let  $R$  be a ring, and write  $\nu = (\text{Hom}_R(-, R))^*$

For  $M \in \mathbf{C}^b(\text{mod } R)$ , let  $P_1 \xrightarrow{p} P_0 \longrightarrow M \longrightarrow 0$  be a projective presentation. Put

$$\mathbb{S}M = \text{Ker}(\nu(p))[2].$$

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### Theorem

*This defines a partial Serre functor  $\mathbb{S}: \mathbf{K}^b(\text{mod } R) \longrightarrow \mathbf{K}(\text{Mod } R)$ .*

## Almost split triangles

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$$X \xrightarrow{f} Y \xrightarrow{g} Z \longrightarrow X[1]$$

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### Theorem (Beligiannis, Krause)

Suppose  $\mathcal{T}$  satisfies Brown representability. Then each compact object  $Z$  with local endomorphism ring appears in an almost split triangle

$$\tau Z \longrightarrow Y \longrightarrow Z \longrightarrow \tau Z[1].$$

## An existence result

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Let  $\rho: E \longrightarrow E/\text{rad } E \hookrightarrow I_Z$  be the canonical map. Then the triangle

$$\tau Z \longrightarrow Y \longrightarrow Z \xrightarrow{\phi(\rho)} \tau Z[1]$$

is almost split. □

## Global approach

### Theorem

Let  $\mathbb{S}: \mathcal{X} \rightarrow \mathcal{T}$  be a partial Serre functor.

If  $k$  is noetherian, then we can choose  $I$  such that each  $Z \in \mathcal{X}$  with local and  $k$ -finite endomorphism ring appears in an almost split triangle

$$\mathbb{S}Z[-1] \rightarrow Y \rightarrow Z \rightarrow \mathbb{S}Z.$$

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### Idea of proof

By assumption we have an isomorphism  $\phi: \text{End}_{\mathcal{T}}(Z)^* \rightarrow \mathcal{T}(Z, \mathbb{S}Z)$ . Let  $\gamma$  be a non-zero linear form on  $\text{End}_{\mathcal{T}}(Z)$  which vanishes on  $\text{rad } \text{End}_{\mathcal{T}}(Z)$ . In the triangle

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the second map is right almost split.

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the second map is right almost split.

Since  $k$  is noetherian and  $l$  was chosen cleverly, one can show that the triangle is almost split. □

## Application

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Recall the partial Serre functor  $\mathbb{S}: K^b(\text{mod } \Lambda) \rightarrow K(\text{Mod } \Lambda)$  given by

$$M \mapsto \text{Ker}(\nu(p))[2],$$

where  $P_1 \xrightarrow{p} P_0 \rightarrow M \rightarrow 0$  is a projective presentation.

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Let  $I$  be an injective envelope of  $k/\text{rad } k$ . Then

- the assumptions of the Theorem are met, and
- $\mathbb{S}$  becomes an auto-equivalence on  $K^b(\text{mod } \Lambda)$ , with quasi-inverse given by

$$M \mapsto \text{Cok}(\nu^-(i))[-2],$$

where  $0 \rightarrow M \rightarrow I^0 \xrightarrow{i} I^1$  is an injective copresentation. □



## Symmetrized duality

Say that **composition from  $X$  to  $Y$  is non-degenerate** if

- for each  $0 \neq f: X \rightarrow T$  there is some  $g: T \rightarrow Y$  such that  $gf \neq 0$ , and
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If  $A[-1] \rightarrow B \rightarrow C \rightarrow A$  is an almost split triangle, then composition from  $C$  to  $A$  is non-degenerate.

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### Fact

If  $\mathbb{S}: \mathcal{X} \rightarrow \mathcal{T}$  is a partial Serre functor, then composition from  $X$  to  $\mathbb{S}X$  is non-degenerate for each  $X \in \mathcal{X}$ .

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### Proposition

Suppose  $A$  and  $C$  have local endomorphism rings, and that one of these is artinian.

If composition from  $C$  to  $A$  is non-degenerate, then there is an almost split triangle

$$A[-1] \rightarrow B \rightarrow C \rightarrow A.$$

## A converse

Say that **composition from  $X$  to  $Y$  is non-degenerate** if

- for each  $0 \neq f: X \rightarrow T$  there is some  $g: T \rightarrow Y$  such that  $gf \neq 0$ , and
- for each  $0 \neq g: T \rightarrow Y$  there is some  $f: X \rightarrow T$  such that  $gf \neq 0$ .

### Theorem

*If composition from  $X$  to  $Y$  is non-degenerate, then  $X$  is 0-compact and  $Y$  is 0-cocompact.*

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### Corollary

If  $X \rightarrow Y \rightarrow Z \rightarrow X[1]$  is an almost split triangle, then  $X$  is 0-compact and  $Z$  is 0-cocompact.