

ESTIMATE OF $\zeta(s)$

$$\zeta(s) = 1 + \frac{1}{s-1} - s \int_1^x \underbrace{(y - [y])}_{\{y\}} y^{-1-s} dy$$

"fractional part"

VALID FOR $\sigma > 0$.

This comes from the Euler-Maclaurin summability formula for $\sum n^{-s}$. We obtain

$$\zeta(s) = \sum_{n \leq x} \frac{1}{n^s} + \frac{x^{1-s}}{s-1} + \{x\} x^{-s} - s \int_x^\infty \{y\} y^{-s-1} dy,$$

from which the following estimates come (choose $x=t$!)

LEMMA: $|\zeta(s)| \leq A \log(t)$, $|\zeta'(s)| \leq A (\log(t))^2$, $\sigma \geq 1$
and $t \geq 2$

$|\zeta(s)| \leq A_s t^{1-s}$ ($\sigma \geq \delta > 0, t \geq 1$)

LEMMA: The residue of $\zeta'(s)/\zeta(s)$ at $s=1$ is

$$\lim_{s \rightarrow 1} (s-1) \frac{\zeta'(s)}{\zeta(s)} = -1$$

RESIDUE

Proof: $\zeta(s) = 1 + \frac{1}{s-1} - s \int_1^x \{y\} y^{-1-s} dy$

$$\zeta'(s) = -\frac{1}{(s-1)^2} - \int_1^x \{y\} y^{-1-s} dy + s \int_1^x \{y\} \{y\} y^{-1-s} \log(y) dy$$

The integrals are finite when $\sigma = 1$. The limit follows. \square

ZERO FREE REGION $\sigma \geq 1$.

PROPOSITION $\zeta(\sigma) \neq 0$ when $\sigma > 1$.

Proof $\left| \frac{1}{\zeta(\sigma)} \right| = \prod_p \left| 1 - \frac{1}{p^\sigma} \right| \leq \prod_p \left(1 + \frac{1}{p^\sigma} \right) < \zeta(\sigma)$

$\Rightarrow |\zeta(\sigma)| > \frac{1}{\zeta(\sigma)} > 0$ when $\sigma > 1$.

[One can also use $\frac{1}{\zeta(\sigma)} = \sum_{n=1}^{\infty} \frac{\mu(n)}{n^\sigma}$ (conv. when $\sigma > 1$)]

THM $\zeta(1+it) \neq 0$, $-\infty < t < \infty$ ($t \neq 0$).

Proof. $\sigma > 1$

$$\log \zeta(\sigma) = \sum_{p} -\log(1-p^{-\sigma}) = \sum_{m,p} \frac{p^{-m\sigma}}{m} = \sum_{n=2}^{\infty} c_n n^{-\sigma}$$

where $c_n \geq 0$. (In fact, $c_n = \frac{\Lambda(n)}{\log(n)}$)

• $\log \zeta(\sigma) = \log |\zeta(\sigma)| + i \arg(\zeta(\sigma))$ since $\zeta(\sigma) \neq 0$ in the half-plane $\sigma > 1$.

Hence $\log |\zeta(\sigma)| = \operatorname{Re} \sum_{n=2}^{\infty} \dots = \sum_{n=2}^{\infty} c_n n^{-\sigma} \underbrace{\cos(t \log n)}_{\operatorname{Re}(n^{-s})}$

$$\log |\zeta(\sigma)^3 \zeta(\sigma+it)^4 \zeta(\sigma+2it)| = 3 \log |\zeta(\sigma)| + 4 \log |\zeta(\sigma+it)| + \log |\zeta(\sigma+2it)| = \sum c_n n^{-\sigma} (3 + 4 \cos(t \log n) + \cos(2t \log n))$$

since $2(1+\cos(\theta))^2 = 3+4\cos\theta + \cos(2\theta) \geq 0$.

But $\log |\zeta(\sigma)| \geq 0 \Rightarrow$

$|\zeta(\sigma)^3 \zeta(\sigma+it)^4 \zeta(\sigma+2it)| \geq 1, \sigma > 1$

We need to $\sigma \rightarrow 1+$. Then $\frac{1}{\sigma-1} < \zeta(\sigma) < \frac{\sigma}{\sigma-1}$ by integral test.

To proceed, assume that

ANTITHESIS $\zeta(1+it_0) = 0$ for some $t_0 \neq 0$.

$$\underbrace{\frac{1}{\sigma-1}}_{\rightarrow \infty} \leq \underbrace{|\zeta(\sigma)|}_{\leq \sigma^3 \text{ BOUNDED TERM}}^3 \underbrace{\left| \frac{\zeta(\sigma+it_0) - \zeta(1+it_0)}{\sigma-1} \right|^4}_{\rightarrow \zeta'(1+it_0)} \underbrace{|\zeta(\sigma+2it_0)|}_{\rightarrow \zeta(1+2it_0)}$$

This is a contradiction, since the right-hand side remains bounded as $\sigma \rightarrow 1+$. ζ . \square

We can extract an estimate from the proof:

LEMMA $\left| \frac{1}{\zeta(\sigma)} \right| \leq A(\log t)^7$, $\sigma \geq 1$, $t \geq t_0$.

Proof: The case $\sigma \geq 2$ is clear. Take first $\sigma \neq 1$, $1 < \sigma < 2$.

$$(\sigma-1)^3 \leq \underbrace{|\zeta(\sigma)|}_{\leq \sigma^3 \leq 8} |\zeta(\sigma)|^4 \underbrace{|\zeta(\sigma+2it)|}_{\leq A \log(2t) \leq 2A \log(t)} \quad (t \geq 2)$$

$$|\zeta(\sigma+it)| \geq A_3 \frac{(\sigma-1)^{3/4}}{(\log t)^{1/4}}$$

Select an auxiliary η , $1 \leq \sigma < \eta \leq 2$ (now $\sigma=1$ is included). Then

$$\zeta(\eta+it) - \zeta(\sigma+it) = \int_{\sigma}^{\eta} \zeta'(u+it) du$$

$$\begin{aligned} |\zeta(\eta+it) - \zeta(\sigma+it)| &\leq A_4 (\log t)^2 (\eta - \sigma) \\ &\leq A_4 (\log t)^2 (\eta - 1) \end{aligned}$$

$$\boxed{\begin{aligned} |\zeta(\sigma+it)| &\geq |\zeta(\eta+it)| - A_4 (\eta - 1) (\log t)^2 \\ &\geq A_3 \frac{(\eta-1)^{3/4}}{(\log t)^{1/4}} - A_4 (\eta-1) (\log t)^2 \end{aligned}}$$

The green estimate is valid also if $1 < \eta < \delta \leq 2$
 since now

$$|\zeta(\sigma+it)| \geq A_3 \frac{(\delta-1)^{3/4}}{(\log t)^{1/4}} \geq A_3 \frac{(\eta-1)^{3/4}}{(\log t)^{1/4}}$$

Now, choose $\eta-1$ in

$$\bullet \quad |\zeta(\sigma+it)| \geq A_3 \frac{(\eta-1)^{3/4}}{(\log t)^{1/4}} - A_4 (\eta-1) (\log t)^2$$

so that

$$A_3 \frac{(\eta-1)^{3/4}}{(\log t)^{1/4}} = 2 A_4 (\eta-1) (\log t)^2$$

$$\eta = 1 + \left(\frac{A_3}{2 A_4} \right)^4 \frac{1}{(\log t)^9}$$

Now $1 < \eta \leq 2$, provided that $t \geq t_0$ (a number).

This value of η yields

$$|\zeta(\sigma+it)| \geq \frac{A_5}{(\log t)^7} \quad (\delta \geq 1, t \geq t_0) \quad \square$$

COROLLARY

$$\left| \frac{\zeta'(s)}{\zeta(s)} \right| \leq A (\log t)^9, \quad (\sigma \geq 1, t \geq t_0)$$

Proof: We also had $|\zeta'(s)| \leq A' (\log t)^2$, $\sigma \geq 1$, $t \geq 2$. \square

TRANSITION FROM $\pi(x)$ TO A FORMULA WITH $\zeta'(s)/\zeta(s)$.

$$\text{We know } \pi(x) \sim \frac{x}{\log x} \xLeftrightarrow \text{Tchebyshef } \theta(x) \sim x \xLeftrightarrow \text{Tchebyshef } \psi(x) \sim x$$

However, $\psi(x) = \sum_{n \leq x} \Lambda(n)$ is a discontinuous step function. It is more convenient to use $\int_2^{\infty} \psi(u) du$, which is continuous.

LEMMA $\psi_1(x) \equiv \int_2^x \psi(u) du \sim \frac{x^2}{2} \Leftrightarrow \psi(x) \sim x.$

Proof: The simple proof uses only the fact that $\psi(x)$ is monotone. [See T. Apostol §13.2].

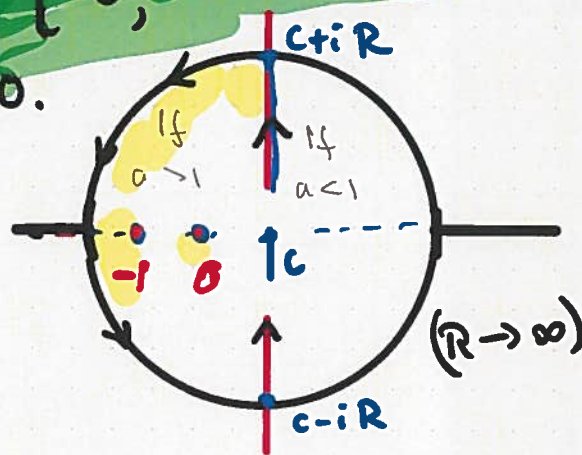
LEMMA

$$\frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} \frac{z^a}{z(z+1)} dz = \begin{cases} 1 - \frac{1}{a}, & a > 1 \\ 0, & 0 < a \leq 1 \end{cases}$$

when $c > 0$.

Label $z=1$
 $a = \frac{x}{n}$
 [§13.2]

Proof. Use the half circle contour, the left one (center = c) if $a > 1$. The residues at $z=0, z=-1$ count. \square



[§13.2 Lemma 1]

$$\psi_1(x) = \int_2^x \psi(u) du = \sum_{n \leq x} (x-n) \Lambda(n) \quad \left(\psi(x) = \sum_{n \leq x} \Lambda(n), \text{ use Abel summability formula for } \sum n \Lambda(n) \right)$$

$$= x \sum_{n \leq x} \left(1 - \frac{n}{x}\right) \Lambda(n) = \frac{1}{2\pi i} x \sum_{n=2}^{\infty} \Lambda(n) \int_{c-i\infty}^{c+i\infty} \frac{\left(\frac{x}{n}\right)^s}{s(s+1)} ds$$

The integral is zero when $n > x$. (A finite number of terms!)

$$= \frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} \frac{\left(\sum_{n=2}^{\infty} \frac{\Lambda(n)}{n^s}\right) x^{s+1}}{s(s+1)} ds$$

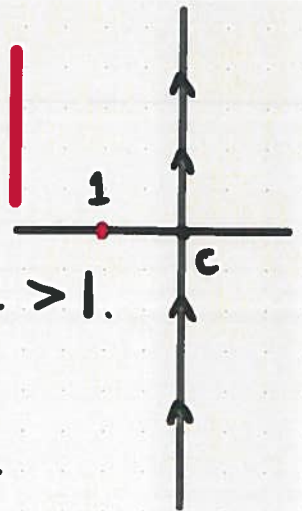
where $c > 1$ so that $\sum s = \int \Sigma$. (ABSOL. conv.)

$$= \frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} \frac{x^{s+1}}{s(s+1)} \left(-\frac{\zeta'(s)}{\zeta(s)}\right) ds$$

THEOREM

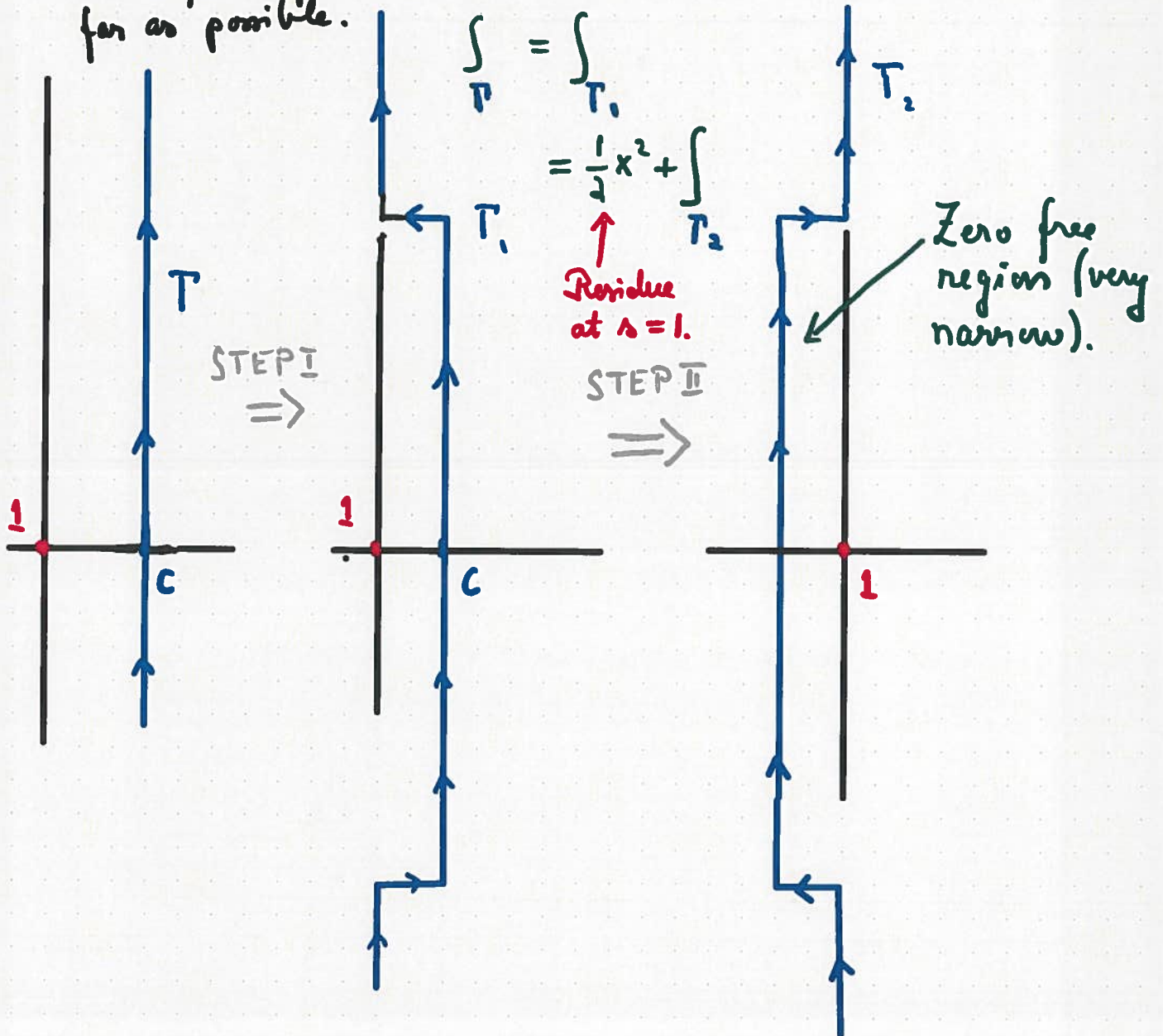
$$\Psi_1(x) = \frac{1}{2\pi i} \int_{C-i\infty}^{C+i\infty} \frac{x^{\lambda+1}}{\lambda(\lambda+1)} \left(-\frac{\zeta'(\lambda)}{\zeta(\lambda)} \right) d\lambda$$

where $C > 1$.

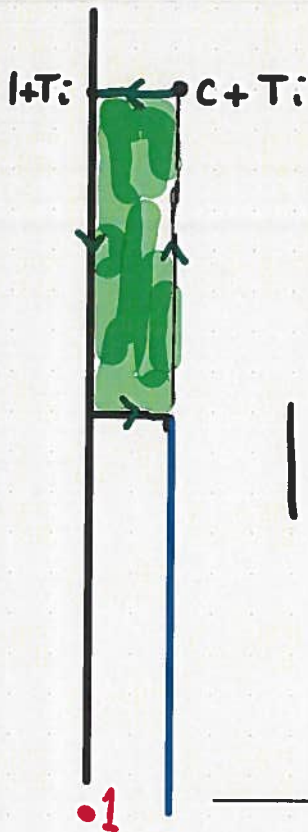


Difficulty: $|x^{\lambda+1}| = x^{2+(\lambda-1)} \gg x^2, \lambda > 1$.

but we want $\Psi_1(x) \sim \frac{1}{2}x^2$. Thus the line of integration must be moved to the left as far as possible.



STEP I



The integral \oint along the rectangle is $= 0$ (Cauchy) and we have only to verify that

$$\int_{c+Ti}^{1+Ti} \dots ds \rightarrow 0 \text{ as } T \rightarrow +\infty$$

Indeed

$$\left| \frac{1}{2\pi i} \int_{c+Ti}^{1+Ti} \frac{x^{\lambda+1}}{\lambda(\lambda+1)} \left(-\frac{\zeta'(\lambda)}{\zeta(\lambda)} \right) d\sigma \right| \leq \frac{x^{c+1}}{2\pi T^2} A(\log t)^3$$

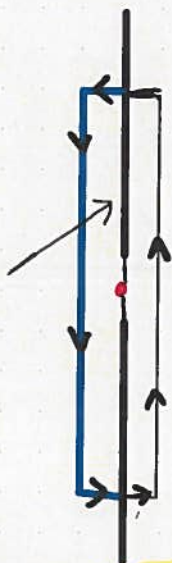
$\xrightarrow{T \rightarrow \infty} 0$

$$\lambda = \sigma + Ti, \quad ds = d\sigma$$

$$|\lambda(\lambda+1)|^2 \geq (\sigma^2 + T^2)((c+1)^2 + T^2) \geq T^4$$

STEP II

Zero free rectangle.



The integral along the rectangle is

$$\frac{1}{2\pi i} \oint \dots ds = \text{Res}_{\lambda=1} \left\{ \frac{x^{\lambda+1}}{\lambda(\lambda+1)} \left(-\frac{\zeta'(\lambda)}{\zeta(\lambda)} \right) \right\}$$

$$= \frac{x^{1+1}}{1(1+1)} \lim_{\lambda \rightarrow 1} (\lambda-1) \left(-\frac{\zeta'(\lambda)}{\zeta(\lambda)} \right)$$

$= 1$

$$= \frac{1}{2} x^2$$

Now we have achieved:

$$\psi_1(x) = \frac{1}{2} x^2 + \frac{1}{2\pi i} \int_{\Gamma} \frac{x^{\lambda+1}}{\lambda(\lambda+1)} \left(-\frac{\zeta'(\lambda)}{\zeta(\lambda)} \right) ds$$

ZEROS The zeros of an analytic function are ISOLATED. A bounded domain can contain only a FINITE number of zeros. Since $\zeta(1+it) \neq 0$, we can make the rectangle so narrow that the zeros are outside it!