

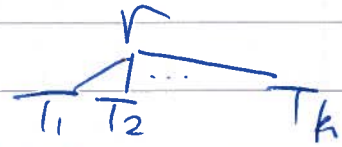
①

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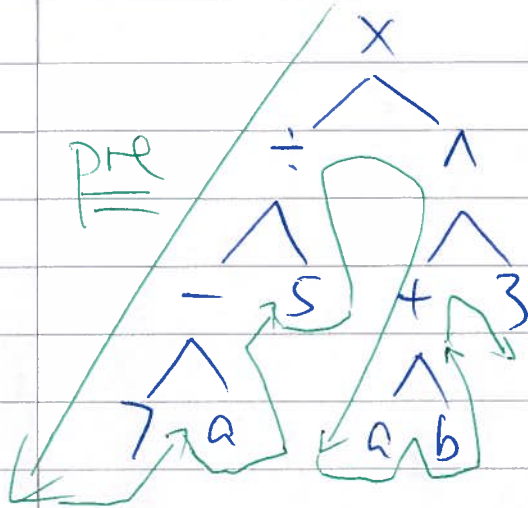
§12.2 Rooted trees

Recall Polish notation

Def. Pre/Post/In traversal



↳ visit  $r$  then Pretraversal of  $T_1$ , Pret of  $T_2$ , ...



$x \div - 7 a 5 \wedge + a b 3$  pre  
 $(7-a) \div 5 \times (a+b) \wedge 3$  in  
 ? post

• Depth First Search (to find a spanning tree)

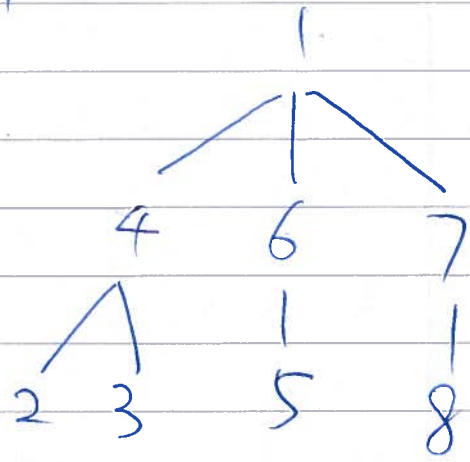
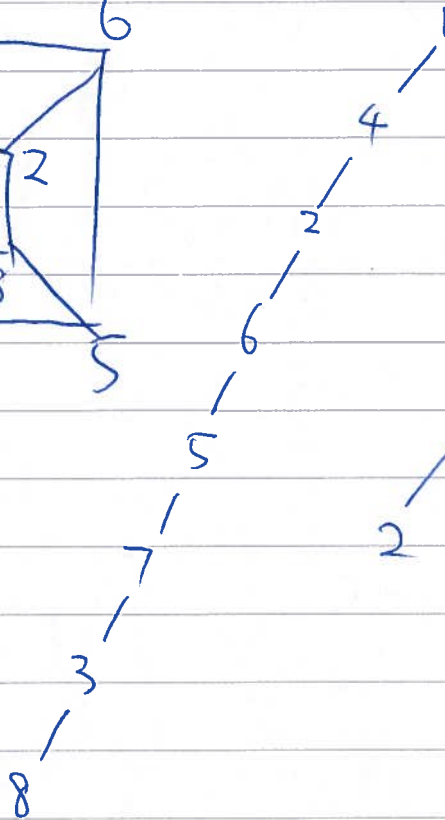
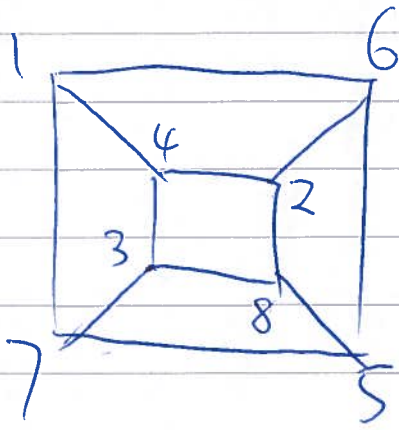
- Step 1.  $v_i = v$   $T = \{v_i, \emptyset\}$  visit  $v_i$
2. find min  $i$  s.t.  $v_i$  is not visited &  $\exists (v_i, v) \in E$   $\left\{ \begin{array}{l} \#i \rightarrow 3 \\ \exists v_i = v \\ T = T + (v, v_i) \end{array} \right.$
3.  $v = v_i$   $\square$
4.  $v \neq v_i$ , backtrack  $v$  to parent  $u$  &  $u = v \rightarrow$  go to 2.

• Breadth First Search

- Step 1.  $v = v_i$   $T = \{v_i, \emptyset\}$   $Q = \{v_i\}$  queue
2. If  $Q \neq \emptyset$ , delet  $v$  from front & add  $v_i$  s.t. not visited  $\exists (v, v_i) \in E$  to  $Q$  &  $T = T + (v, v_i)$

(2)

Ex.



$(V, E)$

Def  $T$  is a  $m$ -ary tree if  $\text{odeg}(v) \leq m$   $\forall v \in V$ . //  $m=2$  binary

If  $\text{odeg}(v) \in \{0, m\}$ , then  $T$  is called a complete  $m$ -ary tree  $\forall v \in V$

Thm  $T$  is a complete  $m$ -ary tree.  $|V|=n$ .

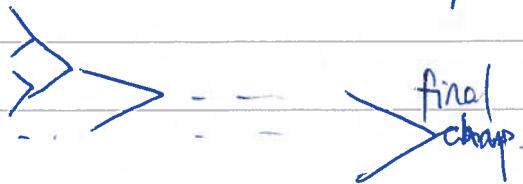
If  $T$  has  $l$  leaves &  $i$  internal vertices

then  $n = mi + 1$

$l = (m-1)i + 1$  ( $= n - i$ )

$i = (l-1)/(m-1) = \frac{n-1}{m}$

Ex: Wimbledon tennis championship single-elimination



$l = \text{leaves} = \# \text{players}$

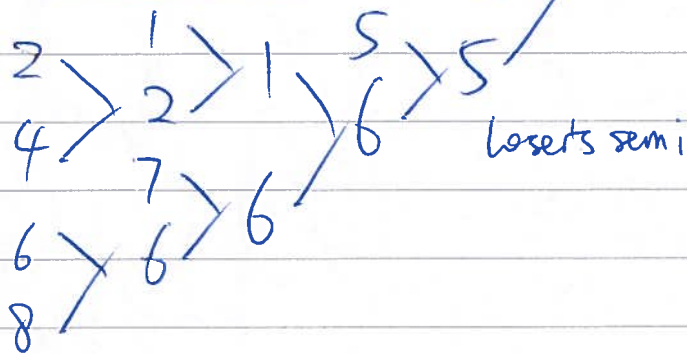
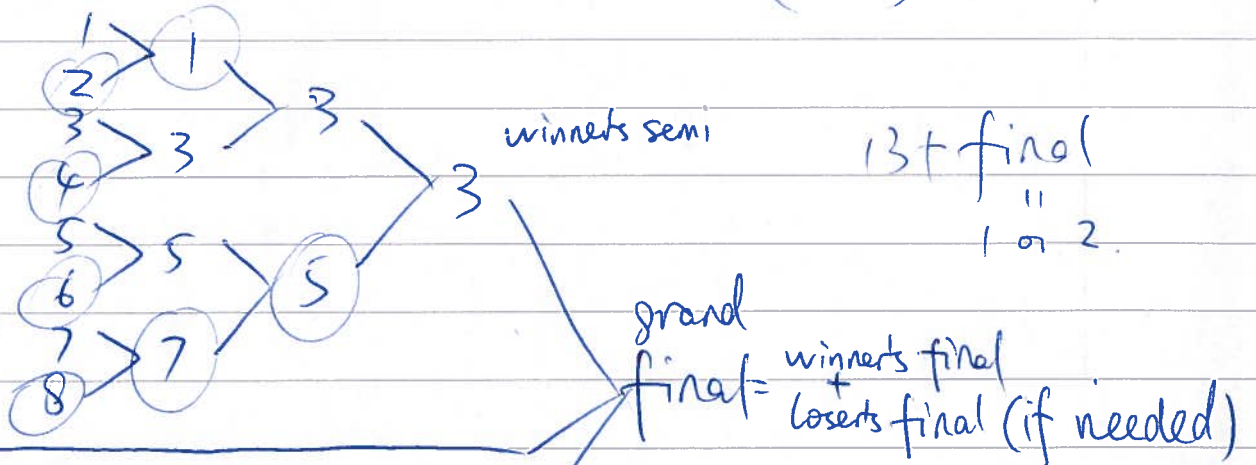
$m=2$

$i = \text{matches} = l - 1$

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Ex: Double elimination

$$(8-2) \times 2 + 1$$



④

- Def  $T = (V, E)$   $\forall$  leaf  $v$ , level of  $v =$  length of path from  $r$  to  $v$ .  
 $\max\{\text{levels of leaves}\} = \text{height of } T (=: h)$ 
  - $T$  is balanced if  $\forall$  leaf, its level  $= h$  or  $h-1$ .

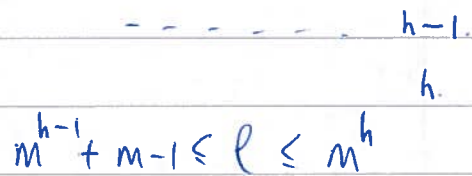
Ex. In a single-elimination competition, usual  $T$  is balanced  
 (not counting extra qualification matches)

Thm  $T$ : complete  $m$ -ary tree of height  $h$  and #leaves  $= l$ .

Then  $l \leq m^h$  &  $h \geq \lceil \log_m l \rceil$



Con.  $h = \lceil \log_m l \rceil$ .



§12.3 Sorting  $x_1 \dots x_n$

$\rightarrow$  (descending order)

• Bubble sorting

For  $i=1$   
to  $n$

Round 1.

For  $j=n$  to  $j=i+1=2$   
compare  $x_j$  &  $x_{j-1}$

Round 2.

For  $j=n$  to  $j=3$

7 9 2 5 8

7 2 9 5 8

2 7 9 5 8

$\rightarrow$  end up smallest number "bubble" out.

Complexity  $\binom{n}{2} = \frac{n(n-1)}{2}$  comparisons in worst-case

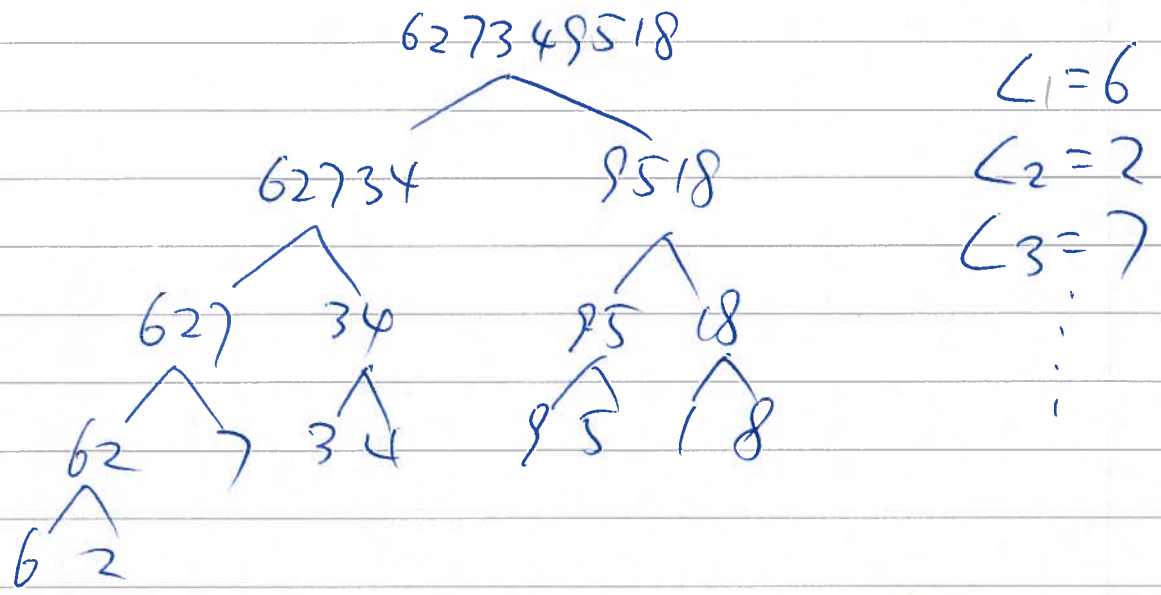


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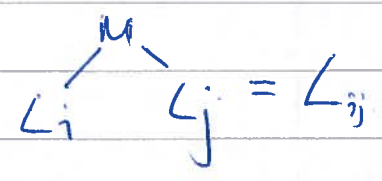
Merge Sort  $x_1, \dots, x_n$

Step 1 Splitting  $n$  items into  $\lceil \frac{n}{2} \rceil$  &  $\lfloor \frac{n}{2} \rfloor$

& keep going until all lists have 1 item



Step 2 Operation: Merge  $L_i$  &  $L_j$ .



1° Set  $L_{ij} = \emptyset$

2° Compare first elements of  $L_i$  &  $L_j$

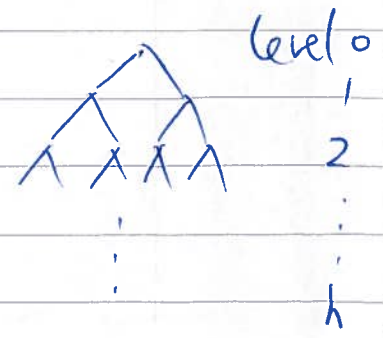
$L_{ij} = L_{ij} + \min$  of them &  $L_i(\text{or } L_j) = L_i(\text{or } L_j) - \text{this min}$

3° If  $L_i = L_j = \emptyset$  □

$\begin{cases} L_i = \emptyset & L = L + L_j \\ L_j = \emptyset & L = L + L_i \end{cases}$

otherwise back to 2.

Worse-case time complexity.  
"WLOG"  $n = 2^h$



$$\# \text{comparisons} = 2^{h-1} + 2^{h-2}(2-1) + \dots$$

$$= \sum_{k=1}^h 2^{k-1} (2^{h-k+1} - 1) = \sum_{k=0}^{h-1} 2^h - 2^k = h \cdot 2^h - (2^h - 1)$$

$$= n \log_2 n - n + 1$$